STATE OF MAINE
PUBLIC UTILITIES COMMISSION

DOCKET NO. 2001-091 INCIDENT NO. 01-325-0

June 26, 2002

MAINE PUBLIC UTILITIES COMMISSION Investigation of Damage to Underground Facilities for 2001 (Verizon) ORDER APPROVING CONSENT AGREEMENT

Welch, Chairman; Nugent and Diamond, Commissioners

## I. SUMMARY

We approve the proposed Consent Agreement executed by Verizon and the Commission's Damage Prevention Investigator for resolution of this matter.

### II. PROCEDURAL HISTORY

On May 8, 2002, the Damage Prevention Investigator assigned to this matter issued a Notice of Probable Violation (NOPV) to Verizon (Respondent) for an incident that occurred on Nov. 7, 2001 at Villa Road, Westbrook. The NOPV indicated that, based on information submitted to the Commission, it appeared that the Respondent committed a probable violation(s) of Maine's Dig Safe law (Title 23 M.R.S.A. § 3360-A, relating to the protection of underground facilities) and the Commission's related rule, Chapter 895, entitled "Underground Facility Damage Prevention Requirements."

The NOPV indicated the incident location, the source of the report received by the Investigator, and the nature of the violation or violations charged. In addition, the NOPV indicated the range of potential penalties to which the Respondent could be subject and the Investigator's recommended penalty of a \$500 fine plus mandatory Damage Prevention Training for this incident. The NOPV described the process for the Respondent to acknowledge the violation(s) and to agree to the penalty under the Consent Agreement as well as the procedural options available to the Respondent to contest this charge.

On June 6, 2002, Verizon filed an initial response to this NOPV and requested a waiver of the training requirement. However, on June 10, 2002, the Respondent filed a signed Consent Agreement with the Commission, thereby accepting the Investigator's findings and recommended penalty.

## **III. LEGAL AUTHORITY**

Under 23 M.R.S.A. §3360-A (commonly known as the Dig Safe Law), the Maine Public Utilities Commission is responsible for enforcing the provisions of the law. The statute also directs the Commission to adopt procedures to gather information to hear and

resolve complaints of failure to comply with the law. These procedures are contained in Chapter 895 of the Commission's Rules, entitled "Underground Facility Damage Prevention Requirements," which became effective November 12, 2000.

#### IV. DECISION

After review of the evidence submitted in this case, we find the proposed Consent Agreement presents a reasonable resolution of this matter and approve it. The Respondent is hereby required to comply with the terms of the Consent Agreement or be subject to full penalty of law. As described in the Consent Agreement, the fine portion of this penalty will be waived if the required Damage Prevention Training is completed by August 5, 2002.<sup>1</sup>

Accordingly, we

# ORDER

- 1. That the Consent Agreement is approved for full effect as of the date of this Order; and
- 2. That a copy of this Order and signed Consent Agreement be sent to:

Karen B. Romano
Director of Verizon Regulatory Affairs
Verizon
1 Davis Farm Rd
Portland, ME 04103

Dated at Augusta, Maine this 26<sup>th</sup> day of June, 2002.

BY ORDER OF THE COMMISSION

Dennis L. Keschl Administrative Director

COMMISSIONERS VOTING FOR: Weld

Welch Nugent Diamond

We view Verizon's signed consent agreement as a withdrawal of its request for a waiver of the training requirement. Verizon may notify us if this assumption is in error.

# NOTICE OF RIGHTS TO REVIEW OR APPEAL

5 M.R.S.A. § 9061 requires the Public Utilities Commission to give each party to an adjudicatory proceeding written notice of the party's rights to review or appeal of its decision made at the conclusion of the adjudicatory proceeding. The methods of review or appeal of PUC decisions at the conclusion of an adjudicatory proceeding are as follows:

- 1. <u>Reconsideration</u> of the Commission's Order may be requested under Section 1004 of the Commission's Rules of Practice and Procedure (65-407 C.M.R.110) within 20 days of the date of the Order by filing a petition with the Commission stating the grounds upon which reconsideration is sought.
- 2. <u>Appeal of a final decision</u> of the Commission may be taken to the Law Court by filing, within **21 days** of the date of the Order, a Notice of Appeal with the Administrative Director of the Commission, pursuant to 35-A M.R.S.A. § 1320(1)-(4) and the Maine Rules of Appellate Procedure.
- 3. <u>Additional court review</u> of constitutional issues or issues involving the justness or reasonableness of rates may be had by the filing of an appeal with the Law Court, pursuant to 35-A M.R.S.A. § 1320(5).

Note: The attachment of this Notice to a document does not indicate the Commission's view that the particular document may be subject to review or appeal. Similarly, the failure of the Commission to attach a copy of this Notice to a document does not indicate the Commission's view that the document is not subject to review or appeal.